

TRANSFER FORM



NEXT GENERATION SERVICES, LLC, 75 LIVINGSTON AVE. STE. 304, ROSELAND, NJ 07068 | P: (973) 533-1880 * F: (973) 533-1088

Use this form to:

- Move assets directly from one custodian to another custodian without personally initiating the movement.
- Do not use this form to make a direct rollover. Please use the Rollover Form.

If you wish to liquidate any assets as part of your transfer to NGTC ensure that the liquidation process is completed PRIOR to completing this form. Transfer of your funds may be delayed if this step is not taken. Please note: Fees may apply from resigning custodian.

Please return this form to Next Generation Services, the Administrator of your plan. This form instructs the Custodian or Trustee of your current/resigning plan to transfer your assets to Next Generation Trust Company. Next Generation Services will arrange this transfer on your behalf.

PERSONAL INFORMATION:

Account Holder Name _____ Next Generation Account # _____

Legal Address (required) _____

City, State, Zip _____

Telephone Number _____ Social Security Number (Required)

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CURRENT CUSTODIAN/TRUSTEE: Where your funds are currently. Express deliveries cannot be made to a PO Box.

Name of Custodian/Trustee _____ Account Number _____

Office Address _____

City, State, Zip _____

Telephone Number _____ Contact Name _____

TYPE OF ACCOUNT TO BE TRANSFERRED/ELIGIBILITY: Must transfer to the same type of account at Next Generation Trust Company.

I am transferring **FROM** the following type of plan (select one):

- Traditional Roth SEP Beneficiary IRA
 SIMPLE ESA HSA

I am transferring **TO** the following type of plan (select one):

- Traditional Roth SEP Beneficiary IRA
 SIMPLE ESA HSA

I am an eligible person to perform this transaction (select one):

- Non-spouse Beneficiary of Account Spouse Beneficiary of Account Coverdell Responsible Individual
 IRA Holder HSA Account Owner Ex-spouse

TYPE OF ASSET TO BE TRANSFERRED: Indicate whether this is A. COMPLETE Transfer OR B. PARTIAL Transfer.

If liquidation of assets is required, you must instruct your current Custodian to liquidate PRIOR to submitting this form. Next Generation Trust Company is not authorized to liquidate investments or assets on your behalf. All transfer forms **MUST** be accompanied by a current account statement from your current custodian.

COMPLETE TRANSFER to my IRA. Please check only one option below, indicating what assets you would like to transfer to your Next Generation Trust Company IRA.

Cash. Please submit \$ _____ (required) in cash to Next Generation Trust Company.
 Please make checks payable to: Next Generation TC FBO (Client Name) IRA

In Kind Asset Transfer & Cash. For transfer of illiquid assets IN KIND (Real Estate, LLCs, Private Stock, Notes, etc.).
 Please note, re-registration fees may apply. Additional documentation **WILL** apply, though, so please contact a Next Generation representative.

PARTIAL TRANSFER to my IRA. Please check all that apply below, indicating what assets you would like to transfer to your Next Generation Trust Company IRA.

Cash. Please submit \$ _____ (required) in cash to Next Generation Trust Company.
 Please make checks payable to: Next Generation TC FBO (Client Name) IRA

In Kind Asset Transfer. For transfer of illiquid assets IN KIND (Real Estate, LLCs, Private Stock, Notes, etc.).
 Please note, re-registration fees may apply. Additional documentation **WILL** apply, though, so please contact a Next Generation representative.

Please see page two of this form for asset description and delivery instructions.

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DESCRIPTION OF ASSETS TO BE TRANSFERRED: *Please attach additional delivery instructions if needed. Fees may apply from your resigning custodian.*

Asset Description	Amount (\$)

DELIVERY INSTRUCTIONS: *Next Generation Services will attach instructions for resigning custodian.*

- a. How would you like us to send this transfer request to your current resigning custodian? *(Please note, not all custodians accept faxed transfer forms.)*
- Mail Express Delivery (\$30 fee) Fax (please provide number) _____
- b. How would you like your cash sent from your current resigning custodian to Next Generation Trust Company?
- Mail/Check Electronic/Wire (\$30 fee)
- c. If you have selected express services above, how would you like to pay for those services? *(Fees are due at the time services are rendered.)*
- Check Credit Card Deduct from Account *(You must have cash available prior to transfer.)*

SIGNATURE AND ACKNOWLEDGEMENT: *This does not constitute a direct rollover.*

I hereby agree to the to the terms and conditions set forth in this Account Asset Transfer Authorization and acknowledge having established an account through the execution of the _____ *(Type of IRA)* account application. I understand the rules and conditions applicable to an Account Transfer. I am authorized to request this transfer and instruct Next Generation Trust Company to execute such a transaction. I understand that employees/representatives of Next Generation Trust Company/Next Generation Services do not have authority to agree to anything other than what is contained in this Account Asset Transfer Authorization.



Account Holder Signature

Date

FOR OFFICE USE ONLY:

Acceptance of Receiving Custodian

Pursuant to a limited written delegation, Next Generation Trust Company as Custodian ("Custodian"), has authorized Next Generation Services to sign this form on the Custodian's behalf to verify the Custodian's acceptance of the transfer described above and apply proceeds, upon their receipt, to the Account established by Next Generation Trust Company on your behalf. CUSTODIAN ASSUMES NO FIDUCIARY OR TRUST OBLIGATIONS TO YOU AS IT ONLY ACTS AS CUSTODIAN OF YOUR FUNDS WITH NO INVESTMENT CONTROL. Next Generation Services, on behalf of Custodian, Next Generation Trust Company.

Authorized Signatory

Date

Account Number

Type of Account: Traditional Roth SEP SIMPLE ESA HSA Beneficiary IRA