

TRANSFER FORM



Use this form to:

- Move assets directly from one custodian to another custodian without taking receipt of the funds.
- Do not use this form to make a direct rollover. Please use the Rollover Form.

If you wish to liquidate any assets as part of your transfer to NGTS ensure that the liquidation process is completed PRIOR to completing this form. Transfer of your funds may be delayed if this step is not taken. Please note: Fees may apply from resigning custodian.

Please return this form to Next Generation Trust Services, the Administrator of your plan. This form instructs the Custodian or Trustee of your current/resigning plan to transfer your assets to Next Generation Trust Services. Next Generation Trust Services will arrange this transfer on your behalf.

PERSONAL INFORMATION:

Account Holder Name _____ Next Generation Account # _____

Legal Address (required) _____

City, State, Zip _____

Telephone Number _____ Social Security Number (Required)

--	--	--	--	--	--	--	--	--	--

CURRENT CUSTODIAN/TRUSTEE: Where your funds are currently. Express deliveries cannot be made to a PO Box.

Name of Custodian/Trustee _____ Account Number _____

Office Address _____

City, State, Zip _____

Telephone Number _____ Contact Name _____

TYPE OF ACCOUNT TO BE TRANSFERRED/ELIGIBILITY: Must transfer to the same type of account at Next Generation Trust Services.

I am transferring **FROM** the following type of plan (select one):

- Traditional Roth SEP Beneficiary IRA
 SIMPLE ESA HSA

I am transferring **TO** the following type of plan (select one):

- Traditional Roth SEP Beneficiary IRA
 SIMPLE ESA HSA

I am an eligible person to perform this transaction (select one):

- Non-spouse Beneficiary of Account Spouse Beneficiary of Account Coverdell Responsible Individual
 IRA Holder HSA Account Owner Ex-spouse

TYPE OF ASSET TO BE TRANSFERRED: Indicate whether this is A. COMPLETE Transfer OR B. PARTIAL Transfer.

If liquidation of assets is required, you must instruct your current Custodian to liquidate PRIOR to submitting this form. Next Generation Trust Services is not authorized to liquidate investments or assets on your behalf. All transfer forms **MUST** be accompanied by a current account statement from your current custodian.

COMPLETE TRANSFER to my IRA. Please check only one option below, indicating what assets you would like to transfer to your Next Generation Trust Services IRA.

Cash Only. Please make checks payable to: _____

In Kind Asset Transfer & Cash. For transfer of illiquid assets IN KIND (Real Estate, LLCs, Private Stock, Notes, etc.). Please note, re-registration fees may apply. Additional documentation **WILL** apply, though, so please contact a Next Generation representative. All vestings to read: _____

PARTIAL TRANSFER to my IRA. Please check all that apply below, indicating what assets you would like to transfer to your Next Generation Trust Services IRA.

Cash. Please submit \$ _____ (required) in cash to Next Generation Trust Services. Please make checks payable to: _____

In Kind Asset Transfer. For transfer of illiquid assets IN KIND (Real Estate, LLCs, Private Stock, Notes, etc.). Please note, re-registration fees may apply. Additional documentation **WILL** apply, though, so please contact a Next Generation representative. All vestings to read: _____

Please see page two of this form for asset description and delivery instructions.

TRANSFER FORM



DESCRIPTION OF ASSETS TO BE TRANSFERRED: Please attach additional delivery instructions if needed. Fees may apply from your resigning custodian.

Asset Description (For cash balances, please indicate amount.)	Amount (\$)

DELIVERY INSTRUCTIONS: Next Generation Trust Services will attach instructions for resigning custodian.

- a. How would you like us to send this transfer request to your current resigning custodian? (Please note, not all custodians accept faxed transfer forms.)
- Mail
 Express Delivery (\$30 fee)
 Fax (please provide number) _____
- b. How would you like your cash sent from your current resigning custodian to Next Generation Trust Services?
- Mail/Check
 Electronic/Wire (\$30 fee)
- c. If you have selected express services above, how would you like to pay for those services? (Fees are due at the time services are rendered.)
- Check
 Credit Card
 Deduct from Account (You must have cash available prior to transfer)

SIGNATURE AND ACKNOWLEDGEMENT: This does not constitute a direct rollover.

I hereby agree to the to the terms and conditions set forth in this Account Asset Transfer Authorization and acknowledge having established an account through the execution of the _____ (Type of IRA) account application. I understand the rules and conditions applicable to an Account Transfer. I am authorized to request this transfer and instruct Next Generation Trust Services to execute such a transaction. I understand that employees/representatives of Next Generation Trust Services do not have authority to agree to anything other than what is contained in this Account Asset Transfer Authorization.



 Account Holder Signature

 Date

FOR OFFICE USE ONLY:

Acceptance of Receiving Custodian

Pursuant to a limited written delegation, _____ as Custodian ("Custodian"), has authorized Next Generation Trust Services to sign this form on the Custodian's behalf to verify the Custodian's acceptance of the transfer described above and apply proceeds, upon their receipt, to the Account established by Next Generation Trust Services on your behalf. CUSTODIAN ASSUMES NO FIDUCIARY OR TRUST OBLIGATIONS TO YOU AS IT ONLY ACTS AS CUSTODIAN OF YOUR FUNDS WITH NO INVESTMENT CONTROL. Next Generation Trust Services, on behalf of Custodian, _____.

 Authorized Signatory

 Date

Account Number _____

Type of Account: Traditional Roth SEP SIMPLE ESA HSA Beneficiary IRA